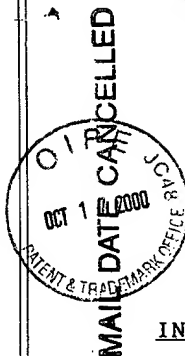


534 Rec'd PCT/PTO 16 OCT 2000  
PCT 1 \$



#  
3 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: DAVID GILLESPIE ET AL. ART UNIT:

SERIAL NO.: 09/646,946 EXAMINER:

FILED: OCTOBER 16, 2000

P.C.T. APPLICATION NO.: PCT/AU99/00201

PRIORITY CLAIM: MARCH 24, 1998

INTERNATIONAL FILING DATE: MARCH 24, 1999

U.S. NATIONAL FEE PAID: SEPTEMBER 21, 2000

TITLE: DOCUMENT MANAGEMENT EXTENSION SOFTWARE

SUBMISSION OF DECLARATION, SMALL ENTITY STATEMENT,  
REQUISITE SURCHARGE AND REQUEST FOR REFUND

Hon. Commissioner for Patents  
United States Patent and Trademark Office  
Box PCT  
Washington, D. C. 20231

Attn: DO/EO/US

Dear Sir:

Applicants in the above-identified patent application  
hereby submit the following documents:

"Express Mail" mailing label number EF 161806535 US  
Date of Deposit October 16, 2000

I hereby certify that this paper is being deposited with the  
U.S. Postal Service "Express Mail - Post Office to Addressee"  
service under 37 C.F.R. §1.10 on the date indicated above and  
is addressed to: Hon. Commissioner for Patents, United States  
Patent and Trademark Office, Washington, D. C. 20231.

  
Edwin D. Schindler, Reg. No. 31,459

October 16, 2000  
Date

10/24/2000 SSALEEK 00000003 09646946

01 FC:254

65.00 DP

1. Declaration/Power of Attorney executed by Applicant;
2. Small Entity Statement (Small Business Concern); and,
3. Request for Refund under 37 C.F.R. §1.28(a).

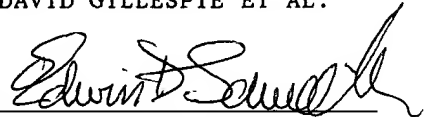
Applicants further enclose a check in the amount of \$65.00 to cover:

The requisite surcharge of \$65.00 (small entity) for submission of the Declaration subsequent to the 30th-month deadline from the claimed priority date.

Authorization is also given to charge Applicants' Attorney's Deposit Account, Account No. 19-0450, for any additional fees or costs which may be due in connection, with the prosecution of the above-identified application, except pre-payment of the Issue Fee. A duplicate copy of this paper is enclosed for billing purposes.

Respectfully submitted,

DAVID GILLESPIE ET AL.

By   
Edwin D. Schindler  
Attorney for Applicants  
Reg. No. 31,459

Five Hirsch Avenue  
P. O. Box 966  
Coram, New York 11727

(631)474-5373

October 16, 2000

**DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**  
**English Language Declaration**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**"DOCUMENT MANAGEMENT EXTENSION SOFTWARE"**  
the specification of which

(check one)

☐ is attached hereto.  
☒ was filed on 24 March 1999 as

PCT Application Serial No. PCT/AU99/00201  
and was amended on \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
<u>PP2522</u>	<u>Australia</u>	<u>24 March 1998</u>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)

(Filing Date)

(Status)  
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)  
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

EDWIN D. SCHINDLER, Registration No. 31,459

Edwin D. Schindler  
Five Hirsch Avenue

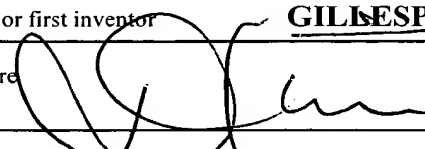
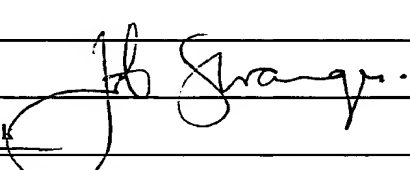
P. O. Box 966

Send Correspondence to:

Coram, New York 11727-0966

Edwin D. Schindler - (631) 474-5373

Direct Telephone Calls to: (name and telephone number)

Full name of sole or first inventor	<u>GILLESPIE, David</u>
Inventor's signature	 Date <u>6/10/00</u>
Residence	<u>Level 8, 10 Queens Road, SOUTH MELBOURNE</u> Victoria 3004, AUSTRALIA <u>Aux</u>
Citizenship	<u>AUSTRALIAN</u>
Post Office Address	<u>As above</u>
Full name of second joint inventor, if any	<u>STRANGES Frank</u>  Date <u>6/10/00</u>
Second Inventor's signature	<u>STRANGES Frank</u> Date <u>6/10/00</u>
Residence	<u>Level 8, 10 Queens Road, SOUTH MELBOURNE</u> , Victoria 3044, AUSTRALIA <u>Aux</u>
Citizenship	<u>AUSTRALIAN</u>
Post Office Address	<u>As Above</u>

(Supply similar information and signature for third and subsequent joint inventors.)

Applicant or Inventor: David Gillispie  
 Serial or Patent No.: 09/646,946  
 Filed or Issued: 24 March 1999  
 For: DOCUMENT MANAGEMENT EXTENSION SOFTWARE

Docket No.: \_\_\_\_\_  
 Examiner: \_\_\_\_\_  
 Art Unit: \_\_\_\_\_

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
 (37 CFR 1.9(f) and 1.27 (c) - SMALL BUSINESS CONCERN)

I hereby declare that I am:

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: 80-20 SOFTWARE PTY. LIMITED

ADDRESS: Level 8, 10 Queens Road, SOUTH MELBOURNE Victoria 3004, AUSTRALIA

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in the 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) if Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, DOES NOT EXCEED 500 PERSONS. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year and, (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled "DOCUMENT MANAGEMENT EXTENSION SOFTWARE" by inventor(s) David GILLESPIE and Frank STRANGES and described in:

- ☐ the specification filed herewith  
☒ application serial no. PCT/AU99/00201, filed 24 March 1999  
☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). \*NOTE: SEPARATE VERIFIED STATEMENTS ARE REQUIRED FROM EACH NAMED PERSON, CONCERN OR ORGANIZATION HAVING RIGHTS TO THE INVENTION AVERRING TO THEIR STATUS AS SMALL ENTITIES (37 CFR 1.27).

NAME 80-20 SOFTWARE PTY. LIMITED

ADDRESS Level 8, 10 Queens Road, SOUTH MELBOURNE Victoria 3004, AUSTRALIA

☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION  
☐ See attached sheet for additional person(s), concern(s), or organization(s).

I acknowledge the duty to file, in this application or patent notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity or the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: DAVID GILLESPIE TITLE DIRECTOR

ADDRESS: Level 8, 10 Queens Road SOUTH MELBOURNE Victoria 3004, AUSTRALIA

SIGNATURE: [Signature] DATE: 6/10/00